

1 Connie E. Merriett, State Bar No. 223274
connie.merriett@dechert.com
2 DECHERT LLP
2440 W. El Camino Real, Suite 700
3 Mountain View, CA 94040
Telephone: (650) 813-4800
4 Facsimile: (650) 813-4848

5 Michael S. Doluisio (*Admitted pro hac vice*)
6 Scott A. Thompson (*Admitted pro hac vice*)
DECHERT LLP
7 2929 Arch St., Cira Centre
Philadelphia, PA 19104
8 Telephone: (215) 994-4000
Facsimile: (215) 655-2325
9

10 Attorneys for Defendant
The Vanguard Group, Inc.

11
12 UNITED STATES DISTRICT COURT
13 NORTHERN DISTRICT OF CALIFORNIA
14 OAKLAND DIVISION

15 JOEL HORNSTEIN, On Behalf of Himself
and all Others Similarly Situated,

16 Plaintiff,

17 vs.

18 THE VANGUARD GROUP, INC.,

19 Defendant.
20

Case No. C-07-3239 SBA

**CERTIFICATION PURSUANT TO
CIVIL LOCAL RULE 3-16**

Date: October 23, 2007
Time: 1:00 p.m.
Courtroom: 3
Judge: Hon. Sandra Brown
Armstrong

21
22 Pursuant to Civil Local Rule 3-16, Defendant The Vanguard Group, Inc., hereby certifies
23 as of this date that:

- 24 1. There is no parent corporation, and there is no publicly held corporation that owns
25 10% or more of The Vanguard Group, Inc.'s stock.
- 26 2. The Vanguard Group, Inc., is jointly owned by 37 investment companies that
27 comprise The Vanguard Group of Investment Companies. Each of those investment companies
28 offers one of more portfolios known as mutual funds.

1
2 Dated: September 4, 2007

/s/ Michael S. Doluisio

Michael S. Doluisio

Scott A. Thompson

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Mountain View, CA 94040

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Facsimile: (650) 813-4848

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13 **FILER'S ATTESTATION**

14 I, Connie E. Merriett, attest pursuant to General Order No. 45 that concurrence in the
15 filing of this document has been obtained from the other signatories.

16
17 Dated: September 4, 2007

/s/Connie E. Merriett

CONNIE E. MERRIETT

CERTIFICATE OF SERVICE

I, Connie E. Merriett, do hereby certify that on September 4, 2007, I caused a true and correct copy of the foregoing to be served upon the following by Federal Express:

Matthew A. Siroka
LAW OFFICES OF
MATTHEW A. SIROKA
600 Townsend Street, Suite 329E
San Francisco, CA 94103

John Balestriere
Balestriere PLLC
225 Broadway
Suite 2700
New York, NY 10007

Craig Stuart Lanza
Balestriere PLLC
225 Broadway
Suite 2700
New York, NY 10007

Attorneys for Plaintiff Joel Hornstein

/s/Connie E. Merriett
CONNIE E. MERRIETT